

CAPITAL MARKT LAW

1	Course Title:	CAPITAL MARKT LAW
2	Course Code:	HUK3032
3	Type of Course:	Optional
4	Level of Course:	First Cycle
5	Year of Study:	3
6	Semester:	6
7	ECTS Credits Allocated:	4.00
8	Theoretical (hour/week):	2.00
9	Practice (hour/week):	0.00
10	Laboratory (hour/week):	0
11	Prerequisites:	None
12	Language:	Turkish
13	Mode of Delivery:	Face to face
14	Course Coordinator:	Dr. Öğr. Üyesi SEVGİ BOZKURT YAŞAR
15	Course Lecturers:	Prof. Dr. Halit AKER Prof. Dr. Zekeriyya ARI
16	Contact information of the Course Coordinator:	Dr. Öğr. Üyesi Sevgi BOZKURT YAŞAR BUÜ Hukuk Fakültesi Ticaret Hukuku ABD Öğretim Üyesi sevgi@uludag.edu.tr Tel: 0 224 513 80 03
17	Website:	
18	Objective of the Course:	In consideration of the provisions of Capital Market Law numbered 6362 and secondary legislation, explanation of the meaning, function and properties of publicly-held joint stock companies and securities and operation of capital market and in this context the explanation of protection of investors and capital market institutions
19	Contribution of the Course to Professional Development:	This course contributes to learning the basic principles of operation of capital market and in this context the explanation of protection of investors and capital market institutions and evaluating the legal controversies of them properly.
20	Learning Outcomes:	
	1	Knows the concept of capital markets and Money markets, differences between these markets and relations
	2	Knows the purpose, sources, issues and principles of capital market law.
	3	Knows the establishment, authority and duties of Stock Exchange Commission
	4	Knows the capital market institutions and their activities
	5	Knows stock market, types of stock market and activities.
	6	Knows the concept of public offering and its process
	7	Knows publicly-held joint stock companies and divergent aspects from Turkish Commercial Code numbered 6102
	8	Knows the concept of securities, types of securities and the transactions of them
	9	Knows the principles and rules of the protection of investors
	10	Knows public disclosure and the legal and criminal liability of capital market institutions and investors

21	Course Content:			
	Course Content:			
Week	Theoretical	Practice		
1	Sources of subject of capital market law.			
2	The concept of market and its types, concept of stock market and its types.			
3	Stock Exchange Commission and types and functions of institutions which operates in the capital markets.			
4	Capital market instruments, public offering, prospectus, issuing.			
5	Publicly held joint stock companies and general characteristics.			
6	Share ownership in publicly held joint stock companies			
7	Management, auditing, public disclosure, corporate governance principles in Publicly held joint stock companies.			
8	The distribution of profit in publicly held joint stock companies			
9	The concept of securities,types and functions of securities.			
10	Types of legal transactions relating to the securities.			
11	The activities of portfolio management and			
Activites		Number	Duration (hour)	Total Work Load (hour)
12	The protection of investors within the scope of Capital Market Law numbered 6362	14	2.00	28.00
Practicals/Labs		0	0.00	0.00
Self study and independent work within the scope of Capital Market Law numbered 6362		14	5.00	70.00
Homeworks		0	0.00	0.00
22	Textbooks, References and/or Other	Tekin MEMİŞ-Gökçen TÜRAN, Sermaye Piyasası Hukuku, 2022, Ekin Kitabevi, İstanbul		
Field Studies		0	0.00	0.00
23	Midterm exams	1	2.00	2.00
Others		0	0.00	0.00
Final Exams		1	20.00	20.00
Total Work Load				120.00
Quiz		0	0.00	4.00
Total work load/ 30 hr				4.00
ECTS Credit of the Course				4.00
Final Exam		1	60.00	
Total		2	100.00	
Contribution of Term (Year) Learning Activities to Success Grade		40.00		
Contribution of Final Exam to Success Grade		60.00		
Total		100.00		
Measurement and Evaluation Techniques Used in the Course		Midterm Exam and Final Exam		
24	ECTS / WORK LOAD TABLE			

25	CONTRIBUTION OF LEARNING OUTCOMES TO PROGRAMME QUALIFICATIONS															
	PQ1	PQ2	PQ3	PQ4	PQ5	PQ6	PQ7	PQ8	PQ9	PQ10	PQ11	PQ12	PQ13	PQ14	PQ15	PQ16
ÖK1	4	5	3	5	4	3	4	5	5	5	5	3	4	5	0	0
ÖK2	3	5	5	4	3	5	5	5	5	5	4	4	4	5	0	0
ÖK3	5	5	5	5	3	5	5	3	5	5	5	4	4	5	0	0
ÖK4	5	4	5	4	3	4	4	5	5	5	5	5	4	5	0	0
ÖK5	5	3	5	3	5	5	5	5	4	5	5	4	5	4	0	0
ÖK6	3	4	4	5	4	3	4	5	5	5	5	2	2	3	0	0
ÖK7	4	4	3	4	4	3	5	5	5	5	5	5	3	4	0	0
ÖK8	4	3	3	3	4	4	4	5	5	4	3	4	4	5	0	0
ÖK9	5	3	4	4	3	4	4	5	5	5	5	5	3	4	0	0
ÖK10	5	5	4	5	5	4	3	4	5	5	5	5	3	3	0	0
LO: Learning Objectives PQ: Program Qualifications																
Contribution Level:	1 very low			2 low			3 Medium			4 High			5 Very High			